## **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18
Stylesheet Version v18.0

Title of Invention

24 HYDROXYVITAMIN D, ANALOGS AND USES THEREOF

Application Number:

09/753697

\*09/753697\*

Confirmation Number:

1609

First Named Applicant:

Charles Bishop

Attorney Docket Number: 0176209316

0176300316

Art Unit:

1617

Examiner:

Theodore J. Criares

Search string: ...

( 2383446 or 3697559 or 3741996 or 4160803 or 4362710 or 4508651 or 4588716 or 4661294 or

4698328 or 4717721 or 4902481 or 5141719 or 5157135 or 5205989 or 5219528 or 5232836 or 5264184 or 5264618 or 5334740 or 5338532 or 5372996 or 5417923 or 5488120 or 5527524 or 5554386 or 5597575 or 5614513 or 5637742 or

5661025 or 5739271 or 5795882 or 6537982 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

	nit	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	12	1	2383446	1941-06-04	Calcott et al			
	$\overline{\Box}$	2 .	3697559	1972-10-10	DeLuca el al.			
Ī		3	3741996	1973-06-26	DeLuca el al.			
	T	4	4160803	1979-07-10	Potts			
Ī	T	5	4362710	1982-12-07	Watanabe			
Ī	T	6	4508651	1985-04-02	Baggiolini et al			
Ī	T	7	4588716	1986-05-13	DeLuca et al			
	T	8	4661294	1987-04-28	Holick et al			
	$\overline{V}$	9	4698328	1987-10-06	Neer et al			

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<u></u>	10	4717721	1988-01-25	DeLuca et al
	11	4902481	1990-02-20	Clark et al
T	12 5141719		1992-08-25	Fernwood et al
	13	5157135	1992-10-20	Tsuji et al
П	14	5205989	1993-04-27	Aysta
Ħ	15	5219528	1993-06-15	Clark
Ħ	16	5232836	1993-08-03	Bouillon et al
丌	17	5264184	1993-11-23	Aysta et al
	18	5264618	1993-11-23	Felgner et al
П	19	5334740	1994-08-02	Takahashi et al
	20	5338532	1994-08-16	Tomalia et al
	21	5372996	1994-12-13	Labrie
П	22	5417923	1995-05-23	Bojanic et al
	23	5488120	1996-01-30	Knutson et al
T	24	5527524	1996-06-18	Tomalia et al
	25	5554386	1996-09-10	Groman et al
	26	5597575	1997-01-28	Breitbarth
	27	5614513	1997-03-25	Knutson et al
	28	5637742	1997-06-10	Valles et al
П	29	5661025	1997-08-26	Szoka, Jr. et al
	30	5739271	1998-04-14	Sridhar et al
	31	5795882	1998-08-18	Bishop et al
V	32	6537982	2003-03-25	Bishop et al

### Remarks

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Subst	titute of form 140	PTOADENARK			Complete if Known
	TION DISCLO		EMENT BY	Application Number	09/753,697
	APPLI			Filing Date	January 3, 2001
				First Name Inventor	Charles W. Bishop
				Group Art Unit	1617
(u	(use as many sheets as necessary)			Examiner Name	Theodore J. Criares
Sheet	1	of	l	Attorney Docket Number	017620-9316

	U.S. I	PATENT DOCUMENTS	
Examiner Initials	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document

		FO	REIGN PATENT DOCUMEN	ITS		
Examiner Initials	Country Code	Foreign Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation	English Abstract
1K	Belgium	877 356	Wisconsin Alumni Research Foundation	10/15/79		
	Japan	5320127	Nisshin Flour Milling Co.	09/29/93		
	Japan	6025039	Kurary Co. Ltd.	02/01/94		
	Japan	62000033	General Hospital	10/15/86		
	EP	0197514	General Hospital	10/15/86		
	EP	0390097	Nisshin Flour Milling Co.	10/03/90		
	PCT	WO 84/04527	Wisconsin Alumni Research Foundation	11/22/84		
	PCT	WO 87/00834	Leo Pharmaceutical Products, Ltd.	02/12/87		
	PCT	WO 90/10620	Wisconsin Alumni Research Foundation	09/20/90		
	PCT	WO 92/21355	Procter & Gamble	12/10/92		
	PCT	WO 93/07883	ISIS Pharmaceuticals	04/29/93		
V	PCT	WO 96/40153	Bone Care International	12/19/96		

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Substitute for form 1449B/PTO

Application Number 09/753,697

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/753,697	
Filing Date	January 3, 2001	
First Named Inventor	Charles W. Bishop	
Group Art Unit	1617	
Examiner Name	Theodore J. Criares	
Attorney Docket Number	017620-0316	

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Sheet

#### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.

1	U	AA	Barton, D. et al., "Synthetic Uses of Steroidal Ring & Diene Protection: 22,23-Dihydroergosterol," JCS Perkin I, (1976) pp. 821-826.
1.	M AB		Beer, T. et al., "Weekly High-Dose Calcitriol and Docetaxel in Metastatic Androgen-Independent Prostate Cancer," <i>Journal of Clinical Oncology</i> , (Jan. 2003) 21:1:123-128.
, v		AC-	Blazsek, I. et al. "Combined Differentiation Therapy in Myelodysplastic Syndrome with Retinoid Acid, 1α,25-Dihydroxyvitamin D <sub>3</sub> , and Prednisone," 16.4:259-264 (Abstract).
1	L	AD	Brautbar, N. "Osteoporosis: Is 1,25-(OH)2D3 of Value in Treatment?" Nephron (1986) 44:161-166.
	T	AE	Braunwald, E. et al., <i>Harrison's Principles of Internal Medicine:</i> Part Eleven, "Disorders of Bone and Mineral Metabolism," Chapter 335, McGraw-Hill, New York, (1987) pp. 1860-1865.
		AF	Brown, J.P. et al., "Serum Bone Gala-Protein: A Specific Marker for Bone Formation in Postmenopausal Osteoporosis," <i>Lancet</i> , (1984) 1:1091-1093.
		AG	Caniggia, A. et al., "Effect of a Long-Term Treatment with 1,25-Dihydroxyvitamin D <sub>3</sub> on Osteocalcin in Postmenopausal Osteoporosis," Cacified Tissue Int., (1986) 38:328-332.
		АН	Christiansen, C. et al., "Prevention of Early Postmenopausal Bone Loss: Controlled 2-Year Study in 315 Normal Females," <i>Europ J Clin Inves.</i> , (1980) 10:273-279.
		ΑI	Crump, D.R. et al., "(22S)-Hydroxyvitamin D <sub>4</sub> ," J.C.S. Perkins Trans. I, (1973) pp. 2731-2733.
		AJ	Cho, Y.L. et al., "Combined Effects of 1,25-Dihydroxyvitamin D <sub>3</sub> and Platinum Drugs on the Growth of MCF-7 Cells," Cancer Research, (June 1991) 51:2848-2853.
		AK	Defacque, H. et al., "Different Combinations of Retinoids and Vitamin D <sub>3</sub> Analogs Efficiently Promote Growth Inhibition and Differentiation of Myelomonocytic Leukemia Cell Lines," J. Pharmacology and Experimental Therapeutics, (1994) 271:193-199.
		AL	DeLuca et al., "Synthesis, Biological Activity, and Metabolism of 22,23-3H-Vitamin D <sub>4</sub> ," Arch. Biochem, Biophys., (1968) 124:122-128.
		AM	Duda et al., "1,25-Dihydroxyvitamin D Stimulation Test for Osteoblast Function in Normal and Osteoporotic Postmenopausal Women," J. Clinic Inves., (1987) 79:1249-1253.
		AN	Endo, K. et al., "Effect of Combination Treatment with Vitamin D Analog (OCT) and a Bisphosphonate (AHPrBP) in a Nude Mouse Model of Cancer-Associated Hypercalcemia," <i>Journal of Bone and Mineral Research</i> , (1998) 13:9:1378-1383.
		AO	Foldes, J. et al., "Long Term Treatment with 1α(OH)D <sub>3</sub> for Postmenopausal Osteoporosis: Efficacy and Safety," Osteoporosis, (1987) 2:971-973.

Examiner	N D	Date	6/12/211
Signature	1/ Cice	Considered	6/18/09

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3003iiidie ioi	101111 1449 <i>0</i> 7F 10			Application Number	09/753,697	
INFOR	MATIO	N DISCL	OSURE	Filing Date	January 3, 2001	
STATE	EMENT E	SY APPL	ICANT	First Named Inventor	Charles W. Bishop	
		_ ,	- <del>-</del>	Group Art Unit	1617	
	(use as many she	ets as necessary)	)	Examiner Name	Theodore J. Criares	-
Sheet	2	of	5	Attorney Docket Number	017620-9316	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.
M	AP	Gallagher, J.C. et al., "Effects of Increasing Doses of 1 $\alpha$ -Hydroxyvitamin D <sub>2</sub> on Calcium Homeostasis in Postmenopausal Osteopenic Women," J. Bone Min. Res., (1994) 9:5:607-614.
	AQ	Grab, W. Z. Physiol. Chem., (1936) 243:63-89.
	AR	Guidelines for the Clinical Evaluation of Drugs Used in the Treatment of Osteoporosis, HEW (FDA) 80-3094, (1979) pp. 5-6.
	AS	Hershberger, P. et al. "Calcitriol (1,25-Dihydroxycholecalciferol) Enhances Paclitaxel Antitumor Activity in Vitro and in Vivo and Accelerates Paclitaxel-induced Apoptosis," Clinical Cancer Research, (April 2001) 7:1043-1051.
	AT	Hoikka, V. et al., "Treatment of Osteoporosis with 1-Alpha-Hydroxycholecalciferol and Calcium," Acta. Med. Scand. (1980) 207:221-224.
	AU	Holick, M.F., "Noncalcemic Actions of 1,25-Dihydroxyvitamin D <sub>3</sub> and Clinical Applications", <i>Bone</i> , (1995) 17:2:107S-110S.
	AV	Horst et al., "Quantitation of Vitamin D and its Metabolites and Their Plasma Concentrations in Five Species of Animals," Anal. Biochem., (1981) 116:189-203.
	AW	Horst et al., "Discrimination in the Metabolism of Orally Dosed Ergocalciferol and Cholecalciferol by the Pig, Rat and Chick," <i>Biochem. J.</i> , (1982) 204:185-189.
	AX	Johnson, C. et al., "Vitamin D-related Therapies in Prostate Cancer," Cancer and Metastasis Review 21, (2002) pp. 147-158.
	AY	Kanis, J.A. et al., "Guidelines for Clinical Trials in Osteoporosis, A Position Paper of the European Foundation for Osteoporosis," Osteoporosis Int., (1991) 1:182-188.
	AZ	Kim, S. et al., "Potentiation of 1,25-Dihydroxyvitamin D <sub>3</sub> -Induced Differentiation of Human Promyelocytic Leukemia Cells into Monocytes by Costunolide, a Germacranolide Sesquiterpene Lactone, <i>Biochem. Pharmacology</i> , (2002) 64:1233-1242.
	BA	Knutson, et al., "Metabolism of 1 α –Hydroxyvitamin D <sub>2</sub> to activated Dihydroxyvitamin D <sub>2</sub> Metabolites Decreases Endogenous 1α,25-Dihydroxyvitamin D <sub>3</sub> in Rats and Monkeys," <i>Endocrinology</i> , (1995) 136:11:4749-4753.
	BB	Kocienski, P.J. et al., "Calciferol and its Relatives. A Synthesis of Vitamin D <sub>4</sub> ," J.C.S. Perkins I, (1979) pp. 1290-1293.
1	BC	Londowski, J.M. et al., "Biological Activity of the C-1, C-3, C-25, β-D-Glucopyranosides of 1,25- Dihydroxyvitamin D <sub>3</sub> <sup>1</sup> ," J. Pharmacology Expr. Ther., (1986) 237:3:837-840.

Examiner Signature	If Cuan	Date Considered	6/15/02/	

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				Filing Date	January 3, 2001	
STATE	MENT B	Y APPL	ICANT	First Named Inventor	Charles W. Bishop	
				Group Art Unit	1617	
(use as many sheets as necessary)				Examiner Name	Theodore J. Criares	
Sheet	3	of	5	Attorney Docket Number	017620-9316	

	,	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.					
M	BD	Majewski, et al., "Inhibition of Tumor Cell-Induced Angiogenisis by Retinoids, 1,25-Dihydroxyvitamin D <sub>3</sub> and their Combination," Cancer Letters, (1993) 75:35-39.					
A	BE	Martin and DeLuca, "Calcium Transport," Am. J. Physiol., 216:1352-1359.					
Te	BF	Mathias, C.J. et al., "Tumor-Selective Radiopharmaceutical Targeting Via Receptor-Mediated Endocytosis of Gallium-67-Deferoxamine-Folate," J. Nucl. Med. (1996), 37(6):1003-1008.					
1	BG	McDonald, F.G., "The Multiple Nature of Vitamin D," J. Biol. Chem. 114, (1936) 1xv.					
	BH Merck Index, S. Budavari (ed.), 11th ed., Merck & Co., Rahway, N.J. (1989) pp. 1579, #9930						
	BI	Moffatt, K. et al., "1α,25-Dihydroxyvitamin D <sub>3</sub> and Platinum Drugs Act Synergistically to Inhibit the Growth of Prostate Cancer Cell Lines," Clinical Cancer Research, (March 1999) 5:695-703.					
	BJ	Muindi, J. et al., "Pharmacokinetics of High-Dose Oral Calcitriol: Results From a Phase 1 Trial of Calcitriol and Paclitaxel," Clinical Pharmacology & Therapeutics, (Dec. 2002) pp. 648-659.					
	BK	Nemeto, H. et al., "A Stereoselective Synthesis of 1 α - Hydroxy-Vitamin D <sub>3</sub> ," CHEMISTRY LETTERS, (1985) 8:1131-1132.					
	BL	Paaren et al., "Direct C(1) Hydroxylation of Vitamin D <sub>3</sub> and Related Compounds," J. Org. Chem., (1980) 45:3253.					
	BM	Packman, K. et al. "Combination Treatment of MCF-7 Xenografts with the Vitamin D <sub>3</sub> Analog EB1089 and Antiestrogens," (Vitamin D Endocrine Workshop, Nashville, TN May 27-June 1, 2000) pp. 511-514.					
1	BN	Podenphant, J. et al., "Serum Bone Gla Protein and Other Biochemical Estimates of Bone Turnover in Early Postmenopausal Women During Prophylactic Treatment for Osteoporosis," <i>Acta Med Scand</i> , (1985) 218:329-333.					
	·BO	Physician's Desk Reference, Edition-43:1746-1748: Med Deste					
1 111117 1 22 1		Pouilles, J.M. et al., "Prevention of Early Postmenopausal Bone Loss with 1α-Hydroxy Vitamin D <sub>3</sub> , A Three-Year Prospective Study," Clin Rheumatol. 11, 4 (1992) pp. 492-497.					
	BQ	Ravid, A. et al., "1,25-Dihydroxyvitamin D <sub>3</sub> Enhances the Susceptibility of Brease Cancer Cells to Doxorubicin-induced Oxidative Damage," Cancer Research, (February 15, 1999) 59:862-867.					
	BR	Reeve, L.E. et al., "Biological Activity of 1α-hydroxy Vitamin D <sub>2</sub> in the Rat," Arch. Biochem. Biophys. (Feb. 1978) 186:1:164-167.					
<b>V</b>	BS	Sato, F. et al., "Biological Activity of 1α,25-Dihydroxyvitamin D Derivatives – 24-epi-1α,25-Dihydroxyvitamin D-2 and 1α,25-Dihydroxyvitamin D-7," Biochim. Biophys. Acta, (1991) 1091:188-192.					
Examin Signatu	1	TAC Date Considered 6/15/04					

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Substitute for form 1449B/PTO					Complete if Known	
Substitute for form 1447B/F TO				Application Number	09/753,697	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)				Filing Date	January 3, 2001	
				First Named Inventor	Charles W. Bishop	
				Group Art Unit	1617	
				Examiner Name	Theodore J. Criares	
Sheet	4	of	5	Attorney Docket Number	017620-9316	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS
Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.
M	BT	Siwinska, A. et al. "Potentiation of the Antiproliferative Effect in Vitro of Doxorubicin, Cisplatin and Genistein by New Analogues of Vitamin D," Anticancer Research, (2001) 21:1925-1929.
	BU	Sjoden et al., "Effects of 1 OHD <sub>2</sub> on Bone Tissue," Acta. Endocrinol. (Copenh.) (Aug. 1984) 16:4:564-568.
	BV	Skowronski et al., "Vitamin D and Prostate Cancer: 1,25 Dihydroxyvitamin D <sub>3</sub> Receptors and Actions in Human Prostate Cancer Cell Lines," <i>Endocrinology</i> , (1993) 132:1952-1960.
	BW	Slapak, C. et al., "Treatment of Acute Myeloid Leukemia in the Elderly with Low-Dose Cytarabine, Hydroxyurea, and Calcitriol," <i>Amer. J. Hematology</i> , (1992) 41:178-183.
	BX	Sommerfeldt et al., "Metabolism of Orally Administered [3H]Ergocalciferol and [3H]Cholecalciferol by Dairy Calves," J. Nutr., (1983) 11:2595-2600.
	BY	Song, X.D. et al., "Bryostatin-1 and 1α,25-Dihydroxyvitamin D <sub>3</sub> Synergistically Stimulate the Differentiation of NB4 Acute Promyelocytic Leukemia Cells," <i>Leukemia</i> , (1999) 13:275-281.
	BZ	Studzinski, G. et al., "Potentiation by 1-α,25- Dihydroxyvitamin D <sub>3</sub> of Cytotoxicity to HL-60 Cells Produced by Cytarabine and Hydroxyurea," J. National Cancer Inst., (April 1986) 76:4:641-648.
	CA	Strugnell et al., "Metabolism of a Cyclopropane-Ring-Containing Analog of 1α-Hydroxyvitamin D <sub>3</sub> in a Hepatocyte Cell Model," <i>Biochem. Pharm.</i> , (1990) 40:333-341.
	СВ	Suzuki, Y. et al., "The Enhancement of the Chemotherapeutic Effects on Human Prostate Cancer Cell – The Combination with the Growth Factor Interaction Inhibitor (Suramin)," Acta Urologica (1993) 12:1215-1220, (Abstract).
	CC	Swami, S. et al. "1\alpha,25-Dihydroxyvitamin D <sub>3</sub> Down-Regulates Estrogen Receptor Abundance and Suppresses Estrogen Actions in MCF-7 Human Breast Cancer Cells," Clinical Cancer Research, (Aug. 2000) 6:3371-3379.
	CD	Tachibana, Y. (Nisshin Flour Milling Co.), "Preparation of 1Beta-Hydroxyvitamin D <sub>2</sub> and D <sub>3</sub> ," CHEMICAL ABSTRACTS, (1990) 113:1:6688 Col. 2 Abstract No. 6683y.
	CE	Tanaka, Y. et al., Endocrinology (1973) 92:417-422.
	CF	Torres, R. et al., "Etoposide Stimulates 1,25-Dihydroxyvitamin D <sub>3</sub> Differentiation Activity, Hormone Binding and Hormone Receptor Expression in HL-60 Human Promyelocytic Cells, Molecular and Cellular Biochemistry, (2000) 208:157-162.
V	CG	Tsuji, M. et al., "Synthesis of 22,23-Dihydro-1α,25-Dihydroxyvitamin D <sub>2</sub> and its 24R-Epimer, New Vitamin D <sub>2</sub> Derivatives," Bull. Chem. Soc. Jpn., (1990) 63:8:2233-2238.

Examiner	1	71	Date	111	/
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INFORMATION DISCLOSURE Filing Date January 3, 2001

STATEMENT BY APPLICANT

First Named Inventor Charles W. Bishop

Group Art Unit 1617

Examiner Name

5

Attorney Docket Number

(use as many sheets as necessary)

Sheet

	·	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS
Examiner Initials		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.
1/R	CH	Wang, Q. et al., "1,25-Dihydroxyvitamin D <sub>3</sub> and All-trans-Retinoic Acid Sensitize Breast Cancer Cells to Chemotherapy-induced Cell Death," Cancer Research, (April 2000) 60:2040-2048.
1	CI	Wang, X. et al., "Inhibition of p38 MAP Kinase Activity Up-Regulates Multiple MAP Kinase Pathways and Potentiates 1,25-Dihydroxyvitamin D <sub>3</sub> -Induced Differentiation of Human Leukemia HL60 Cells," Experimental Cell Research, (2000) 258:425-437.
	CJ	Wientroub, S. et al. "The Dichotomy in the Effects of 1,25 Dihydroxy Vitamin D <sub>3</sub> and 24, 25 Dihydroxy Vitamin D <sub>3</sub> on Bone Gamma-Carboxyglutamic Acid-Containing Protein in Serum and Bone in Vitamin D-Deficient Rats," Calcif, Tissue Int., (1987) 40:166-172.
1	CK	Windaus, A. et al., "Uber das Krystallisierte Vitamin D <sub>4</sub> ," Z. Physiol. Chem., (1937) 247:185-188.
	CL	Yu, W. et al., "Enhancement of 1,25- Dihydroxyvitamin D <sub>3</sub> - Mediated Antitumor Activity with Dexamethasone," J. National Cancer Inst., (January 1998) 90:2:134-141.
	CM	Zerwekh et al., "Short-Term 1,25-Dihydroxyvitamin D <sub>3</sub> Administration Raises Serum Osteocalcin in Patients with Postmenopausal Osteoporosis," J. Clin. Endocrinol. Metabol, (1985) 60:615-617.

Examiner Date Considered 6/11/04	
Signature	

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